

# **JEFFERSON COUNTY, ALABAMA**

## **ONE-YEAR ACTION PLAN**

**October 1, 2016-September 30, 2017**



### **JEFFERSON COUNTY COMMISSION**

**James A. "Jimmie" Stephens, President**

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### **JEFFERSON COUNTY OFFICE OF HUMAN-COMMUNITY SERVICES & ECONOMIC DEVELOPMENT**

**Frederick L. Hamilton, Director**

## **Executive Summary**

### **AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)**

#### **1. Introduction**

The Jefferson County Consortium's Five-Year Strategic Plan outlines the objectives and outcomes to be undertaken by the County with funds from the Community Development Block Grant Program (CDBG), the Home Investment Partnerships Program (HOME), and the Emergency Solutions Grant Program (ESG). This 2016-17 One-Year Action Plan outlines the objectives outcomes proposed by the County for Program Year 2016-17 with funds from those programs previously specified. In addition, the County may also submit application to the Alabama Department of Economic and Community Affairs (ADECA) for additional ESG funds. The County is also in the process of administering Community Development Block Grant Disaster Recovery programs for 2012 and 2013.

#### **2. Summarize the objectives and outcomes identified in the Plan**

This could be a restatement of items or a table listed elsewhere in the plan or a reference to another location. It may also contain any essential items from the housing and homeless needs assessment, the housing market analysis or the strategic plan.

Jefferson County expects to receive CDBG funds, HOME funds, and HOME program income; federal ESG funds and may apply to receive State ESG funds for Program Year 2016 due to begin October 1, 2016.

Housing programs will receive a portion of the total CDBG funds available each year. These Housing Programs will include Housing Emergency Grant Program and Fair Housing/Housing Counseling.

Non-housing programs to be funded under the CDBG program include public services, public facilities, infrastructure, and possible economic development activities as well as planning and administration costs.

Homeless Assistance Programs: Jefferson County anticipates receiving Federal Emergency Solutions Grant (ESG) funds. Eligible activities for ESG funding includes administration, emergency shelter, street outreach, HMIS, rapid re-housing (housing relocation and stabilization services, tenant-based rental assistance) and homeless prevention (housing relocation and stabilization services, tenant-based rental assistance).

HOME Program: Jefferson County anticipates receiving HOME funds for Program Years 2016-17. These funds are proposed for use on a variety of housing programs including HOME administration, Community Housing Development Organization (CHDO) activities and rental housing.

### **3. Evaluation of past performance**

This is an evaluation of past performance that helped lead the grantee to choose its goals or projects.

Jefferson County has completed four (4) park projects, three (3) sidewalk projects, renovations to one (1) community center and one (1) senior citizens' center. Two (2) public service programs have been completed within the past twelve months. Program Year 2015 Emergency Solutions Grant activities are ongoing with one (1) year of eligibility remaining.

### **4. Summary of Citizen Participation Process and consultation process**

Summary from citizen participation section of plan.

The Jefferson County Office of Human-Community Services and Economic Development held a series of neighborhood meetings from October, 2015 through May, 2016 to gain citizen input for the development of the 2016-17 Action Plan. Notices of each meeting were published in the [Birmingham News](#) and the [Birmingham Times](#). Notices were also mailed to members of the Jefferson County Consortium and Technical Advisory Committee. The Technical Advisory Committee consists of low/mod income residents, minorities, persons with disabilities, businesses, non-profit agencies, as well as faith-based agencies. Consultants were asked to submit needs based on their own inventory of their municipality, community, and service area.

### **5. Summary of public comments**

This could be a brief narrative summary or reference an attached document from the Citizen Participation section of the Con Plan.

Jefferson County received one written (1) comment and three (3) consultations regarding the planned use of HOME Program funds. All four expressed a need for rental housing and proposed that funding be used for the development of rental housing. Rental housing activities have been identified as a need and a goal by Jefferson County in the 2015 - 2019 Consolidated Plan. Jefferson County will, therefore, add the funding of rental housing in the 2016 - 2017 One-Year Action Plan.

### **6. Summary of comments or views not accepted and the reasons for not accepting them**

Jefferson County received one written comment and three (3) consultations. All comments and views were accepted.

## **7. Summary**

### **Community Development Block Grant Public Facilities:**

Brighton Community Center: \$400,000.00

Center Point Senior Center: \$252,746.00

Graysville Ball Park Lights: \$100,000.00

Fairfield Sidewalks Phase 4: \$170,000.00

Rosedale Sidewalks Phase 6: \$238,000.00

### **Community Development Block Grant Public Services:**

Fair Housing Counseling: \$25,000.00

The People Development Center: \$12,000.00

Housing Emergency Rehabilitation Grant Program: \$400,000.00

Housing Program Delivery: \$100,000.00

### **Emergency Solutions Grant:**

Administration: \$11,642.63

Emergency Shelter: \$41,570.00

Street Outreach: \$5,000.00

Rapid Re-Housing: \$50,512.00

Homeless Prevention: \$37,510.37

HMIS: \$9,000.00

**HOME:**

Administration: \$85,709.00

CHDO Activity: \$109,814.00

Rental Housing: \$661,567.00

**PR-05 Lead & Responsible Agencies – 91.200(b)**

**1. Agency/entity responsible for preparing/administering the Consolidated Plan**

Describe the agency/entity responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

| Agency Role           | Name             | Department/Agency                                  |
|-----------------------|------------------|--|
| Lead Agency           | JEFFERSON COUNTY |  |
| CDBG Administrator    | JEFFERSON COUNTY | Human-Community Services & Economic Development    |
| HOPWA Administrator   |                  |  |
| HOME Administrator    | JEFFERSON COUNTY | Human-Community Services & Economic Development    |
| ESG Administrator     | JEFFERSON COUNTY | Human-Community Services & Economic Development    |
| HOPWA-C Administrator |                  | Jefferson County, Alabama Community & Economic Dev |

**Table 1 – Responsible Agencies**

**Narrative (optional)**

All CDBG, HOME and ESG programs are administered by the Jefferson County Office of Human-Community Services and Economic Development.

**Consolidated Plan Public Contact Information**

Dr. Frederick L. Hamilton, Director

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## **AP-10 Consultation – 91.100, 91.200(b), 91.215(l)**

### **1. Introduction**

Jefferson County held two (2) Technical Advisory Committee meetings and a series of public hearings at five (5) different times during the Action Plan process. Information related to the meetings were advertised in *The Birmingham Times* and *The Birmingham News*.

#### **Provide a concise summary of the jurisdiction’s activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(l))**

The Continuum of Care (One Roof) has developed a coordinated system of homeless services that includes 1) outreach and assessment 2) emergency shelters 3) transitional housing with supportive services 4) permanent housing/permanent supportive housing. Agencies included in this process are members of public housing authorities, private property owners, and mental health authorities and other social services organizations. By partnering with One Roof, Jefferson County ensures an easy entrance for homeless consumers into a coordinated system of housing and service providers.

#### **Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.**

By partnering with the local Continuum of Care group (One Roof), Jefferson County ensures an easy entrance for homeless consumers into a coordinated system of housing and service providers. Jefferson County supports applications for funding by agencies that actively participates with One Roof in events and activities designed to assist the chronically homeless. To help prevent homelessness for individuals and families with children who are at imminent risk of becoming homeless, Jefferson County will continue to identify those needs through the Open Season process, the Jefferson County Continuum of Care, and the funding of applications for homeless prevention programs.

#### **Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS**

Jefferson County’s Continuum of Care is convened by One Roof. The purpose of the membership is to create, maintain, and build community-wide inventory of housing and services for homeless families and individuals, identify their needs, and work to fill gaps in services. One Roof is also responsible for the implementation of HMIS for the Continuum. Jefferson County is a consumer of the HMIS system and provides financial assistance to One Roof for HMIS.

**2. Describe Agencies, groups, organizations and others who participated in the process and describe the jurisdiction's consultations with housing, social service agencies and other entities**

**Table 2 – Agencies, groups, organizations who participated**

|   |  |  |
|---|--|--|
| 1 | <b>Agency/Group/Organization</b>   | YWCA CENTRAL ALABAMA   |
|   | <b>Agency/Group/Organization Type</b>  | Housing<br>Services - Housing<br>Services-Children<br>Services-Elderly Persons<br>Services-Victims of Domestic Violence<br>Services-homeless<br>Services-Education<br>Service-Fair Housing               |
|   | <b>What section of the Plan was addressed by Consultation?</b>   | Housing Need Assessment<br>Homeless Needs - Chronically homeless<br>Homeless Needs - Families with children<br>Homelessness Strategy   |
|   | <b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b> | The YWCA was consulted regarding the homelessness strategy for providing safe, affordable housing for homeless victims of domestic violence to include the use of and connection to available resources. |
| 2 | <b>Agency/Group/Organization</b>   | City of Lipscomb   |
|   | <b>Agency/Group/Organization Type</b>  | Other government - Local   |
|   | <b>What section of the Plan was addressed by Consultation?</b>   | Housing Need Assessment<br>Economic Development<br>Anti-poverty Strategy<br>Community Development Needs  |
|   | <b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b> | Focus Group, Public Hearing.   |

|   |  |  |
|---|--|--|
| 3 | <b>Agency/Group/Organization</b>   | Bridge Ministries, Inc.  |
|   | <b>Agency/Group/Organization Type</b>  | Services-homeless  |
|   | <b>What section of the Plan was addressed by Consultation?</b>   | Homeless Needs - Chronically homeless<br>Homeless Needs - Families with children<br>Homelessness Needs - Veterans<br>Homelessness Needs - Unaccompanied youth<br>Homelessness Strategy |
|   | <b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b> | Focus Group, Public Hearing  |
| 4 | <b>Agency/Group/Organization</b>   | FIRST LIGHT, INC.  |
|   | <b>Agency/Group/Organization Type</b>  | Housing<br>Services-Children<br>Services-homeless  |
|   | <b>What section of the Plan was addressed by Consultation?</b>   | Homeless Needs - Chronically homeless<br>Homeless Needs - Families with children<br>Homelessness Strategy  |
|   | <b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b> | Focus Group, Public Hearing  |
| 5 | <b>Agency/Group/Organization</b>   | PATHWAYS   |
|   | <b>Agency/Group/Organization Type</b>  | Services-homeless<br>Services-Education  |

|   |  |   |
|---|--|---|
|   | <b>What section of the Plan was addressed by Consultation?</b>   | Homeless Needs - Chronically homeless<br>Homeless Needs - Families with children<br>Homelessness Strategy |
|   | <b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b> | Focus Group, Public Hearing   |
| 6 | <b>Agency/Group/Organization</b>   | Fairfield Housing Authority   |
|   | <b>Agency/Group/Organization Type</b>  | Housing<br>PHA  |
|   | <b>What section of the Plan was addressed by Consultation?</b>   | Housing Need Assessment<br>Public Housing Needs<br>Homelessness Strategy<br>Anti-poverty Strategy         |
|   | <b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b> | Focus Group, Public Hearing   |
| 7 | <b>Agency/Group/Organization</b>   | LEGAL SERVICES OF METRO BIRMINGHAM  |
|   | <b>Agency/Group/Organization Type</b>  | Services-Legal  |
|   | <b>What section of the Plan was addressed by Consultation?</b>   | Homelessness Strategy   |
|   | <b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b> | Focus Group, Public Hearing   |
| 8 | <b>Agency/Group/Organization</b>   | City of Tarrant   |
|   | <b>Agency/Group/Organization Type</b>  | Other government - Local  |

|    |  |  |
|----|--|--|
|    | <b>What section of the Plan was addressed by Consultation?</b>   | Housing Need Assessment<br>Economic Development<br>Community Development |
|    | <b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b> | Focus Group, Public Hearing  |
| 9  | <b>Agency/Group/Organization</b>   | Jefferson County Housing Authority                                       |
|    | <b>Agency/Group/Organization Type</b>  | Housing<br>PHA<br>Service-Fair Housing                                   |
|    | <b>What section of the Plan was addressed by Consultation?</b>   | Housing Need Assessment<br>Public Housing Needs                          |
|    | <b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b> | Focus Group, Public Hearing  |
| 10 | <b>Agency/Group/Organization</b>   | CHILDCARE RESOURCES, INC   |
|    | <b>Agency/Group/Organization Type</b>  | Services-Children  |
|    | <b>What section of the Plan was addressed by Consultation?</b>   | Anti-poverty Strategy  |
|    | <b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b> | Focus Group, Public Hearing  |
| 11 | <b>Agency/Group/Organization</b>   | City of Center Point   |
|    | <b>Agency/Group/Organization Type</b>  | Other government - Local   |

|    |  |   |
|----|--|---|
|    | <b>What section of the Plan was addressed by Consultation?</b>   | Housing Need Assessment<br>Economic Development<br>Anti-poverty Strategy<br>Community Development |
|    | <b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b> | Focus Group, Public Hearing   |
| 12 | <b>Agency/Group/Organization</b>   | City of Gardendale  |
|    | <b>Agency/Group/Organization Type</b>  | Other government - Local  |
|    | <b>What section of the Plan was addressed by Consultation?</b>   | Housing Need Assessment<br>Economic Development<br>Community Development                          |
|    | <b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b> | Focus Group, Public Hearing   |
| 13 | <b>Agency/Group/Organization</b>   | City of Irondale  |
|    | <b>Agency/Group/Organization Type</b>  | Other government - Local  |
|    | <b>What section of the Plan was addressed by Consultation?</b>   | Housing Need Assessment<br>Economic Development<br>Anti-poverty Strategy<br>Community Development |
|    | <b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b> | Focus Group, Public Hearing   |

|    |  |  |
|----|--|--|
| 14 | <b>Agency/Group/Organization</b>   | Mulga Civic League   |
|    | <b>Agency/Group/Organization Type</b>  | Civic Leaders<br>Neighborhood Organization                               |
|    | <b>What section of the Plan was addressed by Consultation?</b>   | Housing Need Assessment<br>Community Development                         |
|    | <b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b> | Focus Group, Public Hearing  |
| 15 | <b>Agency/Group/Organization</b>   | Martintown Elra Heights Civic League                                     |
|    | <b>Agency/Group/Organization Type</b>  | Services-Elderly Persons<br>Civic Leaders<br>Neighborhood Organization   |
|    | <b>What section of the Plan was addressed by Consultation?</b>   | Housing Need Assessment<br>Community Development                         |
|    | <b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b> | Focus Group, Public Hearing  |
| 16 | <b>Agency/Group/Organization</b>   | City of Midfield   |
|    | <b>Agency/Group/Organization Type</b>  | Other government - Local   |
|    | <b>What section of the Plan was addressed by Consultation?</b>   | Housing Need Assessment<br>Economic Development<br>Community Development |
|    | <b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b> | Focus Group, Public Hearing  |

|    |  |  |
|----|--|--|
| 17 | <b>Agency/Group/Organization</b>   | City of Fairfield  |
|    | <b>Agency/Group/Organization Type</b>  | Other government - Local   |
|    | <b>What section of the Plan was addressed by Consultation?</b>   | Housing Need Assessment<br>Public Housing Needs<br>Economic Development<br>Community Development |
|    | <b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b> | Focus Group, Public Hearing  |
| 18 | <b>Agency/Group/Organization</b>   | City of Homewood   |
|    | <b>Agency/Group/Organization Type</b>  | Other government - Local   |
|    | <b>What section of the Plan was addressed by Consultation?</b>   | Economic Development<br>Community Development  |
|    | <b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b> | Focus Group, Public Hearing  |
| 19 | <b>Agency/Group/Organization</b>   | City of Brighton   |
|    | <b>Agency/Group/Organization Type</b>  | Other government - Local   |
|    | <b>What section of the Plan was addressed by Consultation?</b>   | Housing Need Assessment<br>Economic Development<br>Community Development                         |
|    | <b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b> | Focus Group, Public Hearing  |

|    |  |  |
|----|--|--|
| 20 | <b>Agency/Group/Organization</b>   | Muscoda Improvement Association  |
|    | <b>Agency/Group/Organization Type</b>  | Civic Leaders<br>Neighborhood Organization                               |
|    | <b>What section of the Plan was addressed by Consultation?</b>   | Housing Need Assessment<br>Community Development                         |
|    | <b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b> | Focus Group, Public Hearing  |
| 21 | <b>Agency/Group/Organization</b>   | City of Graysville   |
|    | <b>Agency/Group/Organization Type</b>  | Other government - Local   |
|    | <b>What section of the Plan was addressed by Consultation?</b>   | Housing Need Assessment<br>Economic Development<br>Community Development |
|    | <b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b> | Focus Group, Public Hearing  |
| 22 | <b>Agency/Group/Organization</b>   | FAIR HOUSING CENTER OF NORTHERN ALABAMA                                  |
|    | <b>Agency/Group/Organization Type</b>  | Housing<br>Services - Housing<br>Service-Fair Housing                    |
|    | <b>What section of the Plan was addressed by Consultation?</b>   | Housing Need Assessment  |
|    | <b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b> | Focus Group, Public Hearing  |

|    |  |  |
|----|--|--|
| 23 | <b>Agency/Group/Organization</b>   | One Roof, Inc  |
|    | <b>Agency/Group/Organization Type</b>  | Services-homeless  |
|    | <b>What section of the Plan was addressed by Consultation?</b>   | Homeless Needs - Chronically homeless<br>Homeless Needs - Families with children<br>Homelessness Needs - Veterans<br>Homelessness Needs - Unaccompanied youth<br>Homelessness Strategy |
|    | <b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b> | Focus Group, Public Hearing  |
| 24 | <b>Agency/Group/Organization</b>   | BIRMINGHAM HEALTHCARE FOR THE HOMELESS   |
|    | <b>Agency/Group/Organization Type</b>  | Services-Health  |
|    | <b>What section of the Plan was addressed by Consultation?</b>   | Homelessness Strategy  |
|    | <b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b> | Focus Group, Public Hearing  |

**Identify any Agency Types not consulted and provide rationale for not consulting**

No agencies were intentionally omitted.

**Other local/regional/state/federal planning efforts considered when preparing the Plan**

| Name of Plan      | Lead Organization | How do the goals of your Strategic Plan overlap with the goals of each plan? |
|-------------------|-------------------|--|
| Continuum of Care | One Roof          | Jefferson County works in partnership with the local Continuum of Care.      |

**Table 3 – Other local / regional / federal planning efforts**

**Narrative (optional)**

## **AP-12 Participation – 91.105, 91.200(c)**

### **1. Summary of citizen participation process/Efforts made to broaden citizen participation Summarize citizen participation process and how it impacted goal-setting**

The Jefferson County Office Human-Community Services and Economic Development held a series of neighborhood meetings from September, 2015 through January, 2016 to gain citizen input for the development of the 2016-17 One-Year Action Plan. Participants were asked to submit needs based on their own inventory of their municipality, community, and service area.

**Citizen Participation Outreach**

| Sort Order | Mode of Outreach | Target of Outreach           | Summary of response/attendance  | Summary of comments received   | Summary of comments not accepted and reasons               | URL (If applicable)   |
|------------|------------------|------------------------------|---|--|--|---|
| 1          | Public Meeting   | Non-targeted/broad community | Jefferson County held six (6) neighborhood meetings and two (2) Public Hearings between September, 2015 and January, 2016 attended by a total of approximately thirty-one people. | Comments received were in support of housing programs, services for the homeless, and community/infrastructure improvements. | Jefferson County accepted all comments with no rejections. | <a href="http://jeffconline.jccal.org/Default.asp?ID=604&amp;pg=Non%2DHousing+Division">http://jeffconline.jccal.org/Default.asp?ID=604&amp;pg=Non%2DHousing+Division</a> |

| Sort Order | Mode of Outreach | Target of Outreach                         | Summary of response/attendance  | Summary of comments received   | Summary of comments not accepted and reasons   | URL (If applicable)   |
|------------|------------------|--|---|--|--|---|
| 2          | Newspaper Ad     | Minorities<br>Non-targeted/broad community | Jefferson County held five (5) neighborhood meetings between September, 2015 and January, 2016 attended by a total of approximately thirty-one people. Those meetings were advertised in a local newspaper of general circulation as well as a minority circulated newspaper. | Comments received were in support of housing programs, services for the homeless, and community/infrastructure improvements. | There were no comments that were not accepted. | <a href="http://jeffconline.jccal.org/Default.asp?ID=604&amp;pg=Non%2DHousing+Division">http://jeffconline.jccal.org/Default.asp?ID=604&amp;pg=Non%2DHousing+Division</a> |

| Sort Order | Mode of Outreach  | Target of Outreach           | Summary of response/attendance   | Summary of comments received   | Summary of comments not accepted and reasons   | URL (If applicable)   |
|------------|-------------------|------------------------------|--|--|--|---|
| 3          | Internet Outreach | Non-targeted/broad community | Jefferson County held five (5) neighborhood meetings between September, 2015 and January, 2016 attended by a total of approximately thirty-one people. Those meetings were posted on the Jefferson County website. | Comments received were in support of housing programs, services for the homeless, and community/infrastructure improvements. | There were no comments that were not accepted. | <a href="http://jeffconline.jccal.org/Default.asp?ID=604&amp;pg=Non%2DHousing+Division">http://jeffconline.jccal.org/Default.asp?ID=604&amp;pg=Non%2DHousing+Division</a> |

| Sort Order | Mode of Outreach  | Target of Outreach           | Summary of response/attendance   | Summary of comments received  | Summary of comments not accepted and reasons   | URL (If applicable)   |
|------------|-------------------|------------------------------|--|---|--|---|
| 4          | Internet Outreach | Non-targeted/broad community | Jefferson County posted applications for CDBG and ESG activities on the Jefferson County website for the convenience of residents, service providers, and local governments. | Thirty-five applications were received for CDBG funding and eight (8) applications were received for ESG funding. | There were no comments that were not accepted. | <a href="http://jeffconline.jccal.org/Default.asp?ID=604&amp;pg=Non%2DHousing+Division">http://jeffconline.jccal.org/Default.asp?ID=604&amp;pg=Non%2DHousing+Division</a> |

Table 4 – Citizen Participation Outreach

## Expected Resources

### AP-15 Expected Resources – 91.220(c) (1, 2)

#### Introduction

Jefferson County receives funding from three (3) federal grant programs, the Community Development Block Grant Program (CDBG), the HOME Investments Partnership Program, and the Emergency Solutions Grant (ESG). These three (3) grant programs combined will bring approximately \$3,009,506.00 into the county to support affordable housing, homeless, and community development programs in the first program year. Additional funding for the first year will include an estimated \$125,000.00 in program income from HOME resulting in a total first year budget of \$3,134,506.00. Also during the next five (5) years of this Consolidated Plan, Jefferson County will undergo projects and activities

funded through the Community Development Block Grant Disaster Recovery funds.

**Priority Table**

| Program | Source of Funds  | Uses of Funds  | Expected Amount Available Year 1 |                    |                          |           | Expected Amount Available Reminder of ConPlan \$ | Narrative Description  |
|---------|------------------|--|----------------------------------|--------------------|--------------------------|-----------|--|--|
|         |                  |  | Annual Allocation: \$            | Program Income: \$ | Prior Year Resources: \$ | Total: \$ |  |  |
| CDBG    | public - federal | Acquisition<br>Admin and Planning<br>Economic Development<br>Housing<br>Public Improvements<br>Public Services | 2,122,181                        | 0                  | 0                        | 2,122,181 | 0  | Jefferson County's projected use of CDBG funds has been developed so as to give maximum feasible priority to activities which will carry out one of the national objectives of benefit to low- and moderate-income families or aid in the prevention or elimination of slums or blight. The projected use of funds may also include activities that the recipient certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community where other financial resources are not available to meet such needs. |

| Program | Source of Funds  | Uses of Funds   | Expected Amount Available Year 1 |                    |                          |           | Expected Amount Available Reminder of ConPlan \$ | Narrative Description  |
|---------|------------------|---|----------------------------------|--------------------|--------------------------|-----------|--|--|
|         |                  |   | Annual Allocation: \$            | Program Income: \$ | Prior Year Resources: \$ | Total: \$ |  |  |
| HOME    | public - federal | Acquisition<br>Homebuyer assistance<br>Homeowner rehab<br>Multifamily rental new construction<br>Multifamily rental rehab<br>New construction for ownership<br>TBRA | 732,090                          | 125,000            | 0                        | 857,090   | 0  | Jefferson County's projected use of HOME funds is consistent with the HOME Program goal of providing decent affordable housing to lower-income households. |

| Program | Source of Funds  | Uses of Funds  | Expected Amount Available Year 1 |                    |                          |           | Expected Amount Available Reminder of ConPlan \$ | Narrative Description   |
|---------|------------------|--|----------------------------------|--------------------|--------------------------|-----------|--|---|
|         |                  |  | Annual Allocation: \$            | Program Income: \$ | Prior Year Resources: \$ | Total: \$ |  |   |
| ESG     | public - federal | Conversion and rehab for transitional housing<br>Financial Assistance<br>Overnight shelter<br>Rapid re-housing (rental assistance)<br>Rental Assistance Services<br>Transitional housing | 155,235                          | 0                  | 0                        | 155,235   | 0  | ESG funds may be used for costs of providing essential services to homeless families and individuals in emergency shelters, renovating buildings to be used as emergency shelter for homeless families and individuals, and operating emergency shelters. |

Table 5 - Expected Resources – Priority Table

**Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied**

Federal HOME funds will be leveraged primarily through the County's affordable housing programs and economic development activity programs to maximize the impact of federal resources. In the past for example, Jefferson County has leverage millions of dollars in Federal Low Income Housing Tax Credits (LIHTC), private grants from non-profits, loans from private financial institution, and various affordable housing loan

and grant products from the Federal Home Loan Bank. Jefferson County will continue to seek these and other sources of private, state and local funds during the 2015-2019 Consolidated Plan period to stretch our limited federal dollars and make the greatest impact possible.

Jefferson County has exceeded the matching requirement for the HOME Program and does not anticipate a matching funds shortfall during the 2015-2019 Consolidated Plan period. Additional match will, however, continue to be sought and logged in the Match Report as received.

Emergency Solutions Grant (ESG) funds will be matched by Jefferson County, Alabama or sub-recipients through matching contributions obtained from any source, including Federal source other than the ESG program, as well as state, local, and private sources. All sources used as match will meet the requirements as set forth in 24 CFR Part 576.201.

**If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs identified in the plan**

From time to time Jefferson County may use publically owned land or property to address the needs identified in the plan such as the development of public facilities (parks, senior centers, neighborhood facilities) and infrastructure improvements (street and drainage, water/sewer improvements).

**Discussion**

Jefferson County will continue to seek other funding sources to meet needs unmet by Community Development Block Grant, Emergency Solutions Grant, and HOME Grant funds. Jefferson County may also apply to the State of Alabama for Emergency Solutions Grant and other eligible funds for assistance.

## Annual Goals and Objectives

### AP-20 Annual Goals and Objectives - 91.420, 91.220(c)(3)&(e)

#### Goals Summary Information

| Sort Order | Goal Name                                     | Start Year | End Year | Category                          | Geographic Area                          | Needs Addressed                       | Funding           | Goal Outcome Indicator   |
|------------|---|------------|----------|-----------------------------------|--|---------------------------------------|-------------------|--|
| 1          | Public Facilities                             | 2015       | 2020     | Non-Housing Community Development | Consortium-Wide and Unincorporated Areas | Public Facilities                     | CDBG: \$1,160,746 | Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 20 Persons Assisted |
| 2          | Public Services                               | 2015       | 2020     | Non-Housing Community Development | Consortium-Wide and Unincorporated Areas | Public Services                       | CDBG: \$37,000    | Public service activities other than Low/Moderate Income Housing Benefit: 15 Persons Assisted                    |
| 3          | Rehab of Homeowner Housing: Emergency Repairs | 2015       | 2019     | Affordable Housing                | Consortium-Wide and Unincorporated Areas | Affordable Housing Supply and Quality | CDBG: \$400,000   | Homeowner Housing Rehabilitated: 20 Household Housing Unit   |

| Sort Order | Goal Name                    | Start Year | End Year | Category                 | Geographic Area                          | Needs Addressed  | Funding            | Goal Outcome Indicator   |
|------------|------------------------------|------------|----------|--------------------------|--|--|--------------------|--|
| 4          | HESG15                       | 2015       | 2017     | Homeless                 | Consortium-Wide and Unincorporated Areas | HESG15<br>HESG Street Outreach<br>HESG Shelter<br>HESG Homeless Prevention<br>HESG Rapid Re-Housing<br>HESG HMIS | ESG:<br>\$155,235  | Tenant-based rental assistance / Rapid Rehousing: 5 Households Assisted<br>Homelessness Prevention: 5 Persons Assisted<br>Other: 5 Other |
| 6          | CDBG General Administration  | 2015       | 2016     | Administration           | Consortium-Wide and Unincorporated Areas | CDBG General Admin   | CDBG:<br>\$424,435 | Other: 12 Other  |
| 7          | Housing Program Delivery     | 2015       | 2016     | Housing Program Delivery | Consortium-Wide and Unincorporated Areas | Housing Program Delivery   | CDBG:<br>\$100,000 | Other: 4 Other   |
| 8          | HOME Administration          | 2015       | 2019     | HOME Administration      | Consortium-Wide and Unincorporated Areas | HOME Administration  | HOME:<br>\$85,709  | Other: 1 Other   |
| 9          | Construction of Rental Units | 2015       | 2019     | Affordable Housing       | Consortium-Wide and Unincorporated Areas | Affordable Housing Supply and Quality  | HOME:<br>\$661,567 | Rental units constructed: 40 Household Housing Unit  |

| Sort Order | Goal Name      | Start Year | End Year | Category           | Geographic Area                          | Needs Addressed                       | Funding         | Goal Outcome Indicator                               |
|------------|----------------|------------|----------|--------------------|--|---------------------------------------|-----------------|--|
| 10         | CHDO Set-Aside | 2015       | 2019     | Affordable Housing | Consortium-Wide and Unincorporated Areas | Affordable Housing Supply and Quality | HOME: \$109,814 | Rental units rehabilitated: 1 Household Housing Unit |

Table 6 – Goals Summary

### Goal Descriptions

|   |                  |   |
|---|------------------|---|
| 1 | Goal Name        | Public Facilities                             |
|   | Goal Description |   |
| 2 | Goal Name        | Public Services                               |
|   | Goal Description |   |
| 3 | Goal Name        | Rehab of Homeowner Housing: Emergency Repairs |
|   | Goal Description |   |
| 4 | Goal Name        | HESG15  |
|   | Goal Description |   |
| 6 | Goal Name        | CDBG General Administration                   |
|   | Goal Description |   |
| 7 | Goal Name        | Housing Program Delivery                      |
|   | Goal Description |   |
| 8 | Goal Name        | HOME Administration                           |
|   | Goal Description |   |

|    |                         |                              |
|----|-------------------------|------------------------------|
| 9  | <b>Goal Name</b>        | Construction of Rental Units |
|    | <b>Goal Description</b> |                              |
| 10 | <b>Goal Name</b>        | CHDO Set-Aside               |
|    | <b>Goal Description</b> |                              |

**Table 7 – Goal Descriptions**

**Estimate the number of extremely low-income, low-income, and moderate-income families to whom the jurisdiction will provide affordable housing as defined by HOME 91.215(b):**

0-30% - 0

30-50% - 9

50-60% - 32

60-80% - 0

## AP-35 Projects – 91.220(d)

### Introduction

Jefferson County expects to receive \$2,122,181.00 in CDBG funds, \$0.00 in CDBG program income; \$732,090.00 in HOME funds, \$0.00 in County match and \$125,000 in HOME program income; and \$155,235.00 in federal ESG funds plus \$155,235 in ESG Match, making a total of \$3,289,741.00 in funds available for Program Year 2016-2017. Funds will be used CDBG General Administration, CDBG Housing Program Delivery, ESG Administration, HOME Administration, CDBG: Public Facilities, Public Services, and Emergency Housing Rehabilitation, ESG: Homeless Prevention, Rapid Re-Housing, Emergency Shelter, and HMIS.

| #  | Project Name                    |
|----|---------------------------------|
| 1  | CD16-21A-General Administration |
| 2  | CD16-Housing Program Delivery   |
| 3  | CD16-3-Public Facilities        |
| 4  | CD16-5-Public Service           |
| 5  | CD16-14A-Housing                |
| 6  | 2016 HOME Administration        |
| 9  | HOME16-Rental Housing           |
| 10 | 2016-HESG Jefferson County      |
| 11 | HOME16-CHDO Activities          |

**Table 8 – Project Information**

### **Describe the reasons for allocation priorities and any obstacles to addressing underserved needs**

All HOME and CDBG housing rehabilitation programs and public service programs are county-wide. All non-housing, non-homeless projects are approved based on the low/mod percentage for the service area of the project either based on the U.S. Census data or the results of door to door surveys. The biggest obstacle to meeting underserved needs is the lack of funding sources to meet those needs as well as meeting the eligibility guidelines for available funding sources.

## Projects

### AP-38 Projects Summary

#### Project Summary Information

Table 9 – Project Summary

|          |  |   |
|----------|--|---|
| <b>1</b> | <b>Project Name</b>  | CD16-21A-General Administration   |
|          | <b>Target Area</b>   | Consortium-Wide and Unincorporated Areas  |
|          | <b>Goals Supported</b>   | CDBG General Administration   |
|          | <b>Needs Addressed</b>   | CDBG General Admin  |
|          | <b>Funding</b>   | CDBG: \$424,435   |
|          | <b>Description</b>   | Overall program administration, including (but not limited to) salaries, wages, and related costs of staff or others engaged in program management, monitoring, and evaluation. |
|          | <b>Target Date</b>   | 9/30/2017   |
|          | <b>Estimate the number and type of families that will benefit from the proposed activities</b> | N/A   |
|          | <b>Location Description</b>  | Jefferson County Office of Human-Community Services & Economic Development, 716 Richard Arrington Jr Blvd N, Suite A-430, Birmingham, AL 35203.                                 |
|          | <b>Planned Activities</b>  | General Administration.   |
| <b>2</b> | <b>Project Name</b>  | CD16-Housing Program Delivery   |
|          | <b>Target Area</b>   | Consortium-Wide and Unincorporated Areas  |
|          | <b>Goals Supported</b>   | Housing Program Delivery  |

|          |  |  |
|----------|--|--|
|          | <b>Needs Addressed</b>   | Housing Program Delivery   |
|          | <b>Funding</b>   | CDBG: \$100,000  |
|          | <b>Description</b>   | Activities associated with the carrying out of CDBG housing projects/programs.   |
|          | <b>Target Date</b>   | 9/30/2017  |
|          | <b>Estimate the number and type of families that will benefit from the proposed activities</b> | N/A  |
|          | <b>Location Description</b>  | County-Wide  |
|          | <b>Planned Activities</b>  | Housing Program Delivery.  |
| <b>3</b> | <b>Project Name</b>  | CD16-3-Public Facilities   |
|          | <b>Target Area</b>   | Consortium-Wide and Unincorporated Areas   |
|          | <b>Goals Supported</b>   | Public Facilities  |
|          | <b>Needs Addressed</b>   | Public Facilities  |
|          | <b>Funding</b>   | CDBG: \$1,160,746  |
|          | <b>Description</b>   | Acquisition, construction, reconstruction, rehabilitation or installation of public facilities and improvements; the removal of architectural barriers that restrict the mobility and accessibility of elderly or severely disabled persons to public facilities and improvements. |
|          | <b>Target Date</b>   | 9/30/2017  |
|          | <b>Estimate the number and type of families that will benefit from the proposed activities</b> | Approximately 20 low/mod families/individuals will benefit from the proposed public facilities.  |
|          | <b>Location Description</b>  | Fairfield, Alabama; Brighton, Alabama; Graysville, Alabama; Center Point, Alabama; and the Rosedale Community located in Homewood, Alabama.  |

|                           |  |  |
|---------------------------|--|--|
|                           | <b>Planned Activities</b>  | Fairfield Sidewalks Phase 4; Brighton Community Center; Graysville Ball Park; Center Point Senior Center; Rosedale Sidewalks Phase 6.  |
| <b>4</b>                  | <b>Project Name</b>  | CD16-5-Public Service  |
|                           | <b>Target Area</b>   | Consortium-Wide and Unincorporated Areas   |
|                           | <b>Goals Supported</b>   | Public Services  |
|                           | <b>Needs Addressed</b>   | Public Services  |
|                           | <b>Funding</b>   | CDBG: \$37,000   |
|                           | <b>Description</b>   | Funding for public services (including labor, supplies, and materials) including but not limited to those concerned with employment, crime prevention, child care, health, drug abuse, education, fair housing counseling, energy conservation, welfare (but excluding the provision of income payments identified under 24 CFR Part 570.207(b)(4)). |
|                           | <b>Target Date</b>   | 9/30/2017  |
|                           | <b>Estimate the number and type of families that will benefit from the proposed activities</b> | Approximately 20 low/mod income individuals.   |
|                           | <b>Location Description</b>  | County-Wide  |
| <b>Planned Activities</b> | The People Development Center and Fair Housing Counseling.                                     |  |
| <b>5</b>                  | <b>Project Name</b>  | CD16-14A-Housing   |
|                           | <b>Target Area</b>   | Consortium-Wide and Unincorporated Areas   |
|                           | <b>Goals Supported</b>   | Rehab of Homeowner Housing: Emergency Repairs  |
|                           | <b>Needs Addressed</b>   | Affordable Housing Supply and Quality  |
|                           | <b>Funding</b>   | CDBG: \$400,000  |
|                           | <b>Description</b>   | Funds will be used to provide emergency rehabilitation to owner-occupied housing units.  |

|   |  |  |
|---|--|--|
|   | <b>Target Date</b>   | 9/30/2017  |
|   | <b>Estimate the number and type of families that will benefit from the proposed activities</b> | 20 owner-occupied housing units are expected to receive emergency housing rehabilitation.  |
|   | <b>Location Description</b>  | County-Wide.   |
|   | <b>Planned Activities</b>  | Emergency Housing Rehabilitation   |
| 6 | <b>Project Name</b>  | 2016 HOME Administration   |
|   | <b>Target Area</b>   | Consortium-Wide and Unincorporated Areas   |
|   | <b>Goals Supported</b>   | HOME Administration  |
|   | <b>Needs Addressed</b>   | HOME Administration  |
|   | <b>Funding</b>   | HOME: \$85,709   |
|   | <b>Description</b>   | Administrative costs for HOME Program activities.  |
|   | <b>Target Date</b>   | 9/30/2017  |
|   | <b>Estimate the number and type of families that will benefit from the proposed activities</b> | N/A  |
|   | <b>Location Description</b>  | Jefferson County Office of Human-Community Services & Economic Development, 716 Richard Arrington Jr Blvd N, Suite A-430, Birmingham, AL 35203 |
|   | <b>Planned Activities</b>  | HOME Administration  |
| 7 | <b>Project Name</b>  | HOME16-Rental Housing  |
|   | <b>Target Area</b>   | Consortium-Wide and Unincorporated Areas   |
|   | <b>Goals Supported</b>   | Construction of Rental Units   |
|   | <b>Needs Addressed</b>   | Affordable Housing Supply and Quality  |

|   |  |  |
|---|--|--|
|   | <b>Funding</b>   | HOME: \$661,567  |
|   | <b>Description</b>   | HOME funded rental housing activities.   |
|   | <b>Target Date</b>   | 9/30/2017  |
|   | <b>Estimate the number and type of families that will benefit from the proposed activities</b> | 40 Rental Units Constructed - Household Housing Unit   |
|   | <b>Location Description</b>  | County-Wide Consortium and Unincorporated Jefferson County   |
|   | <b>Planned Activities</b>  | Construction of Rental Housing   |
| 8 | <b>Project Name</b>  | 2016-HESG Jefferson County   |
|   | <b>Target Area</b>   | Consortium-Wide and Unincorporated Areas   |
|   | <b>Goals Supported</b>   | HESG15   |
|   | <b>Needs Addressed</b>   | HESG15<br>HESG Street Outreach<br>HESG Shelter<br>HESG Homeless Prevention<br>HESG Rapid Re-Housing<br>HESG HMIS |
|   | <b>Funding</b>   | ESG: \$155,235   |
|   | <b>Description</b>   | HESG program for carrying out Emergency Shelter Solutions Grant activities.                                      |
|   | <b>Target Date</b>   | 9/30/2018  |
|   | <b>Estimate the number and type of families that will benefit from the proposed activities</b> | Homeless families and individuals and those at risk of homeless.   |

|   |  |  |
|---|--|--|
|   | <b>Location Description</b>  | Jefferson County Office of Human-Community Services & Economic Development, 716 Richard Arrington Jr Blvd N, Suite A-430, Birmingham, AL 35203 |
|   | <b>Planned Activities</b>  | HESG Administration  |
| 9 | <b>Project Name</b>  | HOME16-CHDO Activities   |
|   | <b>Target Area</b>   | Consortium-Wide and Unincorporated Areas   |
|   | <b>Goals Supported</b>   | CHDO Set-Aside   |
|   | <b>Needs Addressed</b>   | Affordable Housing Supply and Quality  |
|   | <b>Funding</b>   | HOME: \$109,814  |
|   | <b>Description</b>   | HOME CHDO Activities   |
|   | <b>Target Date</b>   | 9/30/2017  |
|   | <b>Estimate the number and type of families that will benefit from the proposed activities</b> | 1 Household Units  |
|   | <b>Location Description</b>  | County-Wide Consortium and Unincorporated Jefferson County   |
|   | <b>Planned Activities</b>  | Rental housing rehab of existing unit.   |



## **AP-50 Geographic Distribution – 91.220(f)**

### **Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed**

Funds for the 2016 One-Year Action Plan will be directed County-Wide as needed for low/mod-income beneficiaries eligible for CDBG Emergency Housing Rehabilitation, Public Service Programs and for the homeless or those at risk of homelessness as beneficiaries for ESG programs. Funds for other CDBG Non-Housing Projects such as Public Facilities and Clearance and Demolition will be directed to the following municipal areas of the Jefferson County Consortium: Brighton, Center Point, Graysville, Fairfield, and the Rosedale Community located within Homewood, Alabama.

### **Geographic Distribution**

| <b>Target Area</b>                       | <b>Percentage of Funds</b> |
|--|----------------------------|
| Consortium-Wide and Unincorporated Areas | 100                        |

**Table 10 - Geographic Distribution**

### **Rationale for the priorities for allocating investments geographically**

All HOME and CDBG housing rehabilitation, homeless and public service programs are county-wide excluding the areas of Birmingham, Bessemer, Hoover, County Line, Sumiton, Argo and Helena. Birmingham, Bessemer, and Hoover are excluded because they are entitlements. County Line, Sumiton, Argo and Helena are excluded because they are not participants of the Jefferson County Consortium. All non-housing projects are approved based upon the low/mod percentage for the service area of the project either based on the U.S. Census data or the results of door-to-door surveys.

### **Discussion**

The Jefferson County Consortium consists of the following thirty-one municipalities: Adamsville, Brighton, Brookside, Cardiff, Center Point, Clay, Fairfield, Fultondale, Gardendale, Graysville, Homewood, Hueytown, Irondale, Kimberly, Leeds, Lipscomb, Maytown, Midfield, Morris, Mountain Brook, Mulga, North Johns, Pinson, Pleasant Grove, Sylvan Springs, Tarrant, Trafford, Trussville, Vestavia Hills, Warrior, and West Jefferson.

## Affordable Housing

### AP-55 Affordable Housing – 91.220(g)

#### Introduction

Part of the information below relates to the affordable housing goals provided in Section AP-20. For the purpose of this section, the term "affordable housing" is defined in the HOME regulations at 24 CFR 92.252 for rental housing and 24 CFR 92.254 for homeownership.

| One Year Goals for the Number of Households to be Supported |    |
|---|----|
| Homeless  | 0  |
| Non-Homeless  | 41 |
| Special-Needs   | 0  |
| Total   | 41 |

**Table 11 - One Year Goals for Affordable Housing by Support Requirement**

| One Year Goals for the Number of Households Supported Through |    |
|---|----|
| Rental Assistance   | 0  |
| The Production of New Units                                   | 40 |
| Rehab of Existing Units                                       | 1  |
| Acquisition of Existing Units                                 | 0  |
| Total   | 41 |

**Table 12 - One Year Goals for Affordable Housing by Support Type**

#### Discussion

## **AP-60 Public Housing – 91.220(h)**

### **Introduction**

There are four (4) housing authorities responsible for the administration and operations of public housing developments in Jefferson County. They include the Jefferson County Housing Authority (JCHA), Leeds Housing Authority, Tarrant Housing Authority and Fairfield Housing Authority. JCHA is the largest of the four (4).

### **Actions planned during the next year to address the needs to public housing**

Jefferson County will encourage public housing residents to participate in employment and training opportunities provided by construction activities under Section 3 and other available programs.

### **Actions to encourage public housing residents to become more involved in management and participate in homeownership**

Actions to encourage public housing residents to become more involved in management include representation on the housing authority board, a Community Action Committee, Senior Companions, computer centers and surveys. Public housing residents are encouraged to participate in homeownership through voluntary participation in a Family Self Sufficiency program to become self sufficient within five (5) years. It is to be hoped that counseling and linkages to area agencies will enable earned income to increase and allow for the resident/tenant to qualify for an affordable mortgage. Housing counseling services include financial literacy, budgeting, credit repair, homeownership education, and fair housing.

### **If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance**

Not applicable.

### **Discussion**

## **AP-65 Homeless and Other Special Needs Activities – 91.220(i)**

### **Introduction**

Jefferson County has developed one-year goals and specific action steps for reducing and ending homelessness through:

(i) Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs;

(ii) Addressing the emergency shelter and transitional housing needs of homeless persons;

(iii) Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again; and

(iv) Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families who are:

(A) Being discharged from publicly funded institutions and systems of care, such as health-care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions; or

(B) Receiving assistance from public and private agencies that address housing, health, social services, employment, education, or youth needs.

### **Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including**

#### **Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs**

Jefferson County's one-year goals and actions for reducing and ending homelessness by reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs includes providing \$5,000.00 in ESG funds for street outreach activities. ESG funds may be used for costs of providing essential services necessary to reach out to unsheltered homeless people; connect them with emergency shelter, housing, or critical services; and provide urgent, nonfacility-based care to unsheltered homeless people who are unwilling or unable to access emergency shelter, housing, or an appropriate health facility. For the purposes of this section, the term "unsheltered homeless people" means individuals and families who qualify as homeless under paragraph (1)(i) of the "homeless"

definition under §576.2. The eligible costs and requirements for essential services consist of: Engagement, Case Management, Emergency Health Services (with limitations), Emergency Mental Health Services (with limitations), and Transportation.

### **Addressing the emergency shelter and transitional housing needs of homeless persons**

Jefferson County's one-year goal and action for reducing and ending homelessness by addressing the emergency shelter and transitional housing needs of homeless persons includes providing \$41,570.00 in funding for emergency shelter activities. Eligible activities include case management, child care, education services, employment assistance and job training, outpatient health services, legal services (with limitations), life skills training, mental health services (with limitations), substance abuse treatment services (with limitations), transportation (with limitations), services for special populations (homeless youth, victim services, services for people living with HIV/AIDS), renovation, and shelter operations.

### **Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again**

Jefferson County's one-year goal and action for reducing and ending homelessness by helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to afford housing units, and preventing individuals and families who were recently homeless from becoming homeless again by extending the availability of unspent Program Year 2015 ESG Rapid Re-Housing funds to September 30, 2017 and by providing \$50,512.00 in Program Year 2016 Rapid Re-Housing funds.

### **Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs.**

Jefferson County's one-year goal and action for reducing and ending homelessness by helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and

families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs includes providing \$37,510.37 in funding for homeless prevention activities. Eligible activities may include housing relocation and stabilization services and short- and/or medium-term rental assistance necessary to prevent an individual or family from moving into an emergency shelter or another place described in paragraph (1) of the “homeless” definition in 24 CFR Part §576.2. This assistance, referred to as homelessness prevention, may be provided to individuals and families who meet the criteria under the “at risk of homelessness” definition, or who meet the criteria in paragraph (2), (3), or (4) of the “homeless” definition in §576.2 and have an annual income below 30 percent of median family income for the area, as determined by HUD. The costs of homelessness prevention are only eligible to the extent that the assistance is necessary to help the program participant regain stability in the program participant’s current permanent housing or move into other permanent housing and achieve stability in that housing.

**Discussion**

|  |
|--|
| <b>One year goals for the number of households to be provided housing through the use of HOPWA for:</b>        |
| Short-term rent, mortgage, and utility assistance to prevent homelessness of the individual or family          |
| Tenant-based rental assistance   |
| Units provided in housing facilities (transitional or permanent) that are being developed, leased, or operated |
| Units provided in transitional short-term housing facilities developed, leased, or operated with HOPWA funds   |
| Total  |

## **AP-75 Barriers to affordable housing – 91.220(j)**

### **Introduction**

**Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment**

Jefferson County is engaged in a Comprehensive Planning process looking at the county on a watershed by watershed basis. This process has been temporarily slowed due to funding restraints, but it is anticipated that over the next five (5) year plans for all the county watersheds will be adopted. Elements of the completed Plan include land use, sewer, water supply, mining issues, transit, and housing among others in the unincorporated areas of the county.

### **Discussion**

## **AP-85 Other Actions – 91.220(k)**

### **Introduction**

Over the period of the 2016 One-Year Action Plan, Jefferson County plans to address obstacles to meeting underserved needs; foster and maintain affordable housing; evaluate and reduce lead-based paint hazards; reduce the number of poverty-level families; develop institutional structure; and enhance coordination between public and private housing and social service agencies.

### **Actions planned to address obstacles to meeting underserved needs**

Addressing “Underserved Needs” is one of the most trying and difficult areas addressed in Jefferson County’s housing strategies, homeless strategies, and non-housing strategies. The main reason for this difficulty is a lack of available funding to meet those needs.

### **Actions planned to foster and maintain affordable housing**

Jefferson County will continue to use its limited HUD Community Planning and Development (CPD) funds to support activities across the housing needs spectrum to foster and maintain affordable housing. Programs to address this issue under CDBG include owner-occupied rehabilitation, and housing counseling. The HOME Program may address new construction of single-family and rental housing; acquisition and/or rehabilitation of single-family and rental housing; and homebuyer assistance. The ESG Program may utilize rapid re-housing and homeless prevention programs to foster and maintain affordable housing for families and individuals who have become or are at risk of becoming homeless.

### **Actions planned to reduce lead-based paint hazards**

Jefferson County has two (2) Lead-Based Paint Inspectors and Lead-Based Paint Risk Assessors certified by the University of Alabama's Safe States Environmental Program on staff as Senior Housing Rehabilitation Specialists. Training and licensing are kept current and are registered with the Alabama Department of Public Health.

Under the HOME Program, the use of lead-based paint (LBP) is prohibited in all new construction. Any pre-1978 existing units to be rehabilitated and sold to homebuyers is tested for LBP prior to acquisition. If LBP is found, the unit is not acquired. Under the CDBG Program, all pre-1978 owner-occupied units are tested for LBP prior to rehabilitation. None of the County's housing programs include activities that are expected to disturb lead painted surfaces except volunteer programs which are under the allowable threshold and are exempt. The County requires all projects to be compliant with all

Federal and State laws and regulations.

### **Actions planned to reduce the number of poverty-level families**

1. Coordination of activities undertaken by Jefferson County which improve or alter the living situation of persons whose incomes are below the poverty level and/or contribute to the reduction in the number of persons living in poverty. Targeted areas for concentration include:

A. Economic Development - search for programs and funds to provide jobs and essential service initiatives to elevate and expand economic opportunities that will allow for suitable living environments.

B. Improvement of the educational system.

C. Support and/or coordinate County's goals, policies, and programs with services provided by private and public social service agencies which are willing to join in cooperative planning and joint programs.

D. Actions to expand the use of federal resources available for affordable housing.

E. Coordination of existing housing programs with housing counseling and educational opportunities for acquiring the knowledge and skills for responsible home ownership and tenancy.

F. Actions to nurture and strengthen existing community based housing development corporations and to encourage the development of additional non-profits.

G. Exploration of new initiatives for affordable housing

### **Actions planned to develop institutional structure**

Jefferson County will implement its Consolidated Plan through its Office of Community and Economic Development which will serve as lead agency. Jefferson County works with a number of agencies both public and non-profit in delivering services to the residents of the County. Jefferson County's HOME Program further solicits outside sources of funds through both public and private sectors including Low Income Housing Tax Credits. The CDBG funded Housing Rehabilitation programs further work with volunteer and faith based entities where possible. The ESG program works through a variety of non-profit entities to support their Continuum of Care initiatives.

### **Actions planned to enhance coordination between public and private housing and social service agencies**

There are four (4) Public Housing Authorities within the Jefferson County Community Development Consortium. These are the Fairfield, Tarrant, Leeds and Jefferson County Public Housing Authorities (PHA's). The Jefferson County Commission appoints board members to the Jefferson County Public

Housing Authority only. All other Authorities operate independently of the Jefferson County Commission. Jefferson County works closely with local PHA's in supporting their efforts to improve the housing stock and quality of life of their beneficiaries. When requested, Jefferson County reviews proposed capital improvements as well as proposed development, demolition or disposition of public housing development. Jefferson County does not fund any Authority and has no involvement in procurement and hiring. This is done through the local Authority under plans approved by HUD.

Public Institutions and Private Organizations: Historically public institutions and private organizations have worked well together to provide housing and community development programs and services to the people of Jefferson County. Various resources are coordinated to help the County's families and individuals in need of housing assistance, elderly, low and very low income, and special needs populations.

Jefferson County, Alabama has operated the Community Development Block Grant (CDBG) program for many years as a HUD entitlement community. The County, in working with a variety of community agencies, has a well-established structure for implementing its programs. Jefferson County has in the past and presently continues to maintain extensive contracts and coordination with a broad variety of community organizations.

To increase the availability of affordable housing units to residents in Jefferson County, the County partners with CHDOs, non-profit organizations, community development corporations, and private developers as well as with private lenders, the Alabama Housing Finance Authority, the Jefferson County Department of Health and the Alabama Department of Human Resources.

For homeless programs, Jefferson County plans to enhance coordination between public and private housing and social service agencies through the local Continuum of Care and HMIS coordinated efforts.

## **Discussion**

## Program Specific Requirements

### AP-90 Program Specific Requirements – 91.220(I)(1,2,4)

#### Introduction

Jefferson County expects to receive \$2,122,181.00 in CDBG funds. Jefferson County does not expect to receive any program income during the period of this One-Year Action Plan PY 2016 (October 1, 2016-September 30, 2017).

#### Community Development Block Grant Program (CDBG)

##### Reference 24 CFR 91.220(I)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

|  |          |
|--|----------|
| 1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed  | 0        |
| 2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan. | 0        |
| 3. The amount of surplus funds from urban renewal settlements  | 0        |
| 4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan   | 0        |
| 5. The amount of income from float-funded activities   | 0        |
| <b>Total Program Income:</b>   | <b>0</b> |

#### Other CDBG Requirements

|   |        |
|---|--------|
| 1. The amount of urgent need activities   | 0      |
| 2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan. | 70.00% |

#### HOME Investment Partnership Program (HOME)

##### Reference 24 CFR 91.220(I)(2)

1. A description of other forms of investment being used beyond those identified in Section 92.205 is

as follows:

Jefferson County does not plan to provide other forms of investment beyond those identified in Section 92.205.

2. A description of the guidelines that will be used for resale or recapture of HOME funds when used for homebuyer activities as required in 92.254, is as follows:

Jefferson County will use the Recapture option should the debtor decide to sell their home within the Affordability Period. Under the Recapture option, the County may require the debtor to repay all of the HOME Program subsidy to the County or a reduced amount on a prorata basis for the time the homeowner has owned and occupied the housing measured against the required affordability period. The debtor is then able to sell his/her home to any buyer at whatever price the market will bear. The County will require full repayment of outstanding HOME Program funds as described above at the time of resale or, if the net proceeds of a sale are less than the full amount of the HOME Program subsidy, recapture of the net proceeds. The net proceeds of a sale are the sales price minus any non-HOME Program loan repayments and closing costs. These funds will be reinvested into HOME Program funded activities. In those cases where the real estate does not appreciate sufficiently to allow a full recapture, the County will reduce the repayment of the HOME Program subsidy to allow the original purchaser to resell the unit without incurring a loss. Jefferson County may, at its option, permit the subsequent homebuyer to assume the HOME assistance (subject to the HOME requirements for the remainder of the period of affordability) if the subsequent homebuyer is low-income, and no additional HOME assistance is provided.

The Period of Affordability will be suspended upon foreclosure by a superior lender or other transfer in lieu of foreclosure. However, if at any time following foreclosure or other transfer in lieu of foreclosure by such lender, but still during the Period of Affordability, the owner of record prior to the foreclosure or transfer in lieu of foreclosure, or any newly formed entity that includes the former owner or those with whom the former owner has or had family or business ties, obtains and ownership interest in the property, the Period of Affordability shall be revived according to its original terms. In the event of a foreclosure of the property by the County or a superior lender, then the recapture shall be limited to the net proceeds received by the County as described above. Jefferson County will also retain a first right of refusal on the property.

3. A description of the guidelines for resale or recapture that ensures the affordability of units acquired with HOME funds? See 24 CFR 92.254(a)(4) are as follows:

Jefferson County will use mortgage liens and restrictive covenants running with the land filled of record with the Jefferson County Judge of Probate to ensure the affordability of units acquired with HOME funds remain affordable for the applicable period of affordability. The applicable period of

affordability shall be as follows:

- Under \$15,000 - 5 years
- \$15,000 - \$40,000 - 10 years
- Over \$40,000 - 15 years

4. Plans for using HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds along with a description of the refinancing guidelines required that will be used under 24 CFR 92.206(b), are as follows:

Jefferson County has no plans to use funds for this purpose.

**Emergency Solutions Grant (ESG)  
Reference 91.220(l)(4)**

1. Include written standards for providing ESG assistance (may include as attachment)

All applicants must be evaluated for program eligibility and needs.

The standard for calculating annual income under 24CFR 5.609 must be used when determining the annual income of an individual or family.

All clients must receive housing stability case management.

Jefferson County's policy for termination of assistance must be explained to and distributed to the client.

All shelters and housing must meet HUD's shelter and housing standards.

All agencies receiving Jefferson County ESG funds must adhere to the Conflict of Interest and Privacy Policies as set forth by Jefferson County.

To the maximum extent possible, all subrecipients must involve a homeless individuals or families in constructing, renovating, maintaining, and operating facilities assisted, in providing services, and in providing services under the ESG Program. This may include employment or volunteer services.

Faith-based organizations are eligible, on the same basis as any other organization, to receive ESG

funds.

2. If the Continuum of Care has established centralized or coordinated assessment system that meets HUD requirements, describe that centralized or coordinated assessment system.

While One Roof (Lead Agency for the Local CoC) planned to have a complete operating Coordinated Assessment by mid-January 2015, there were multiple barriers to implementation including the move of the One Roof office. Policies are in the final stages of development and One Roof is expected to hire the first dedicated staff member soon.

All calls related to homelessness or homeless prevention will be referred to the One Roof office number and staff will go through a brief assessment with callers to determine the level of homelessness or risk of homelessness. If the client appears to be eligible for any service available in the CoC, Coordinated Assessment staff will go through a more extensive assessment including some pieces of Vulnerability Index. Clients will then be referred to the appropriate resource according to resource availability and level of vulnerability.

3. Identify the process for making sub-awards and describe how the ESG allocation available to private nonprofit organizations (including community and faith-based organizations).

Jefferson County holds a competitive application period for each program year's allocation of ESG funds. Applications are made available to private nonprofit organizations including faith-based organizations at the same period. Applications are rated and ranked from highest points to lowest points with the categories of ESG budgeted funds being allocated to the highest ranked organizations based on the funds available in that category of assistance.

4. If the jurisdiction is unable to meet the homeless participation requirement in 24 CFR 576.405(a), the jurisdiction must specify its plan for reaching out to and consulting with homeless or formerly homeless individuals in considering policies and funding decisions regarding facilities and services funded under ESG.

All of Jefferson County's subrecipients have had volunteers and/or staff members who meet the homeless participation requirement in 24 CFR 576.405 (a). If Jefferson County is unable to meet the requirement, a plan is in place where the subrecipient cannot receive ESG funding until the homeless participation requirement is met and verified.

5. Describe performance standards for evaluating ESG.

Jefferson County will review the performance of each subrecipient in carrying out its responsibilities no less than annually. In conducting performance reviews, the County will rely primarily on

information obtained from the records and reports from the subrecipient and , when appropriate, its partners, as well as information from onsite monitoring, audit reports, and information from HMIS. Where applicable, the County may also consider relevant information pertaining to the subrecipient's performance gained from other sources, including citizen comments, complaint determinations, and litigation.

**Discussion**

## Attachments

**Grantee SF-424's and Certification(s)**

**RESOLUTION**

**WHEREAS**, the U.S. Department of Housing and Urban Development (HUD) is requiring that all recipients of CDBG, HOME, HOPWA, and ESG, prepare a Five Year Consolidated Plan, Citizens' Participation Plan, and an Annual Action Plan in order to receive grant funds; and

**WHEREAS**, the Jefferson County Commission finds that said grants are vitally needed for providing housing assistance, neighborhood improvements, and economic development activities directed to the needs of low and moderate income families and persons, aiding in the prevention and elimination of slums and blight, creating jobs, and addressing other community development needs having a particular urgency to the community's health, welfare and safety; and

**WHEREAS**, Jefferson County has involved in its planning process citizens, non-profit organizations and other area governments to develop a comprehensive approach to solving the problems of low and moderate income people resulting in the 2015-19 Jefferson County Five-Year Consolidated Plan and Citizens' Participation Plan;

**WHEREAS**, The Jefferson County Office of Human-Community Services & Economic Development has developed a list of proposed activities for the 2016 One-Year Action Plan based on the needs of the community as addressed in a series of public hearings and an assessment of the priority of these needs;

**NOW, THEREFORE, BE IT RESOLVED** by the Jefferson County Commission of Jefferson County, Alabama, that the Jefferson County Office of Human-Community Services & Economic Development is authorized and hereby directed to make available to the public according to the Citizens Participation Plan, the proposed list of activities for the 2016-17 One Year Action Plan for public comment.

**ADOPTED:**

APPROVED BY THE  
JEFFERSON COUNTY COMMISSION  
DATE: 6-9-16  
MINUTE BOOK: 169  
PAGE(S): \_\_\_\_\_

**RESOLUTION**

**WHEREAS**, the U.S. Department of Housing and Urban Development (HUD) is requiring that all recipients of CDBG, HOME, HOPWA, and ESG, prepare a Five Year Consolidated Plan, Citizens' Participation Plan, and an Annual Action Plan in order to receive grant funds; and

**WHEREAS**, the Jefferson County Commission finds that said grants are vitally needed for providing housing assistance, neighborhood improvements, and economic development activities directed to the needs of low and moderate income families and persons, aiding in the prevention and elimination of slums and blight, creating jobs, and addressing other community development needs having a particular urgency to the community's health, welfare and safety; and

**WHEREAS**, Jefferson County has involved in its planning process citizens, non-profit organizations and other area governments to develop a comprehensive approach to solving the problems of low and moderate income people resulting in the 2015-19 Jefferson County Five-Year Consolidated Plan and Citizens' Participation Plan;

**WHEREAS**, The Jefferson County Office of Human-Community Services & Economic Development has developed a list of proposed activities for the 2016 One-Year Action Plan based on the needs of the community as addressed in a series of public hearings and an assessment of the priority of those needs;

**NOW, THEREFORE, BE IT RESOLVED** by the Jefferson County Commission of Jefferson County, Alabama, that the Commission President is hereby authorized to sign the application forms (SF-424) and Certification forms to allow the Jefferson County Office of Human-Community Services & Economic Development to submit the proposed 2016-17 One-Year Action Plan for CDBG, ESG, and HOME programs to the U.S. Department of Housing & Urban Development.

ADOPTED:

APPROVED BY THE  
JEFFERSON COUNTY COMMISSION  
DATE: 7/14/16  
MINUTE BOOK: 170  
PAGE(S): 50

| Application for Federal Assistance SF-424  |  |  |
|--|--|--|
| * 1. Type of Submission:<br><input type="checkbox"/> Preapplication<br><input checked="" type="checkbox"/> Application<br><input type="checkbox"/> Changed/Corrected Application | * 2. Type of Application:<br><input checked="" type="checkbox"/> New<br><input type="checkbox"/> Continuation<br><input type="checkbox"/> Revision | * If Revision, select appropriate letter(s):<br><input type="text"/><br>* Other (Specify):<br><input type="text"/> |
| * 3. Date Received:<br><input type="text"/>  | 4. Applicant Identifier:<br>E-16-UC-01-0001  |  |
| 5a. Federal Entity Identifier:<br><input type="text"/>   | 5b. Federal Award Identifier:<br><input type="text"/>  |  |
| <b>State Use Only:</b>   |  |  |
| 6. Date Received by State: <input type="text"/>  | 7. State Application Identifier: <input type="text"/>  |  |
| <b>8. APPLICANT INFORMATION:</b>   |  |  |
| * a. Legal Name: Jefferson County, Alabama   |  |  |
| * b. Employer/Taxpayer Identification Number (EIN/TIN):<br>63-6001578  | * c. Organizational DUNS:<br>1054742790000   |  |
| * d. Address:  |  |  |
| * Street1:<br>Street2:<br>* City:<br>County/Parish:<br>* State:<br>Province:<br>* Country:<br>* Zip / Postal Code:   | 716 Richard Arrington Jr Blvd N, Ste. A-420<br><br>Birmingham<br>Jefferson<br>AL, Alabama<br><br>USA: UNITED STATES<br>35203-0100                  |  |
| * e. Organizational Unit:  |  |  |
| Department Name:<br>Rural-Community Services & ED  | Division Name:<br><input type="text"/>   |  |
| * f. Name and contact information of person to be contacted on matters involving this application:   |  |  |
| Prefix:<br>Middle Name:<br>* Last Name:<br>Suffix:<br>Title:<br>Organizational Affiliation:<br>* Telephone Number:<br>* Email:   | Dr.<br>h.<br>Hamilton<br>Pt. C<br>Director<br>Jefferson County Commission<br>205-325-5761<br>hamilton@jccal.org                                    |  |
|  | * First Name: Frederick<br>* Fax Number: 205-325-5093  |  |

| Application for Federal Assistance SF-424  |  |
|--|--|
| * 9. Type of Applicant 1: Select Applicant Type:   |  |
| B: County Government:  |  |
| Type of Applicant 2: Select Applicant Type:  |  |
| Type of Applicant 3: Select Applicant Type:  |  |
| * Other (specify):   |  |
| * 10. Name of Federal Agency:  |  |
| U.S. Dept. of Housing & Urban Development  |  |
| 11. Catalog of Federal Domestic Assistance Number:   |  |
| 14.218   |  |
| CFDA Title   |  |
| Community Development Block Grants/Entitlement Grants  |  |
| * 12. Funding Opportunity Number:  |  |
| * Title:   |  |
| Community Development Block Grant  |  |
| 13. Competition Identification Number:   |  |
| Title:   |  |
| 14. Areas Affected by Project (Cities, Counties, States, etc.):  |  |
|  | <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>    |
| * 15. Descriptive Title of Applicant's Project:  |  |
| Eligible activities through out the Jefferson County Consortium and unincorporated Jefferson County to be funded from the Community Development Block Grant. |  |
| Attach supporting documents as specified in agency instructions  |  |
|  | <input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/> |

**Application for Federal Assistance SF-424**

**16. Congressional Districts Of:**

\* a. Applicant:  \* b. Program/Project:

Attach an additional list of Program/Project Congressional Districts if needed

**17. Proposed Project:**

\* a. Start Date:  \* b. End Date:

**18. Estimated Funding (\$):**

|                     |   |
|---------------------|---|
| * a. Federal        | <input type="text" value="2,122,181.00"/> |
| * b. Applicant      | <input type="text"/>                      |
| * c. State          | <input type="text"/>                      |
| * d. Local          | <input type="text"/>                      |
| * e. Other          | <input type="text"/>                      |
| * f. Program Income | <input type="text" value="0.00"/>         |
| * g. TOTAL          | <input type="text" value="2,122,181.00"/> |

**\* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

a. This application was made available to the State under the Executive Order 12372 Process for review on

b. Program is subject to E.O. 12372 but has not been selected by the State for review.

c. Program is not covered by E.O. 12372.

**\* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**

Yes  No

If "Yes", provide explanation and attach

**21. "By signing this application, I certify (1) to the statements contained in the list of certifications\*\* and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances\*\* and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

\*\* I AGREE

\*\* The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

**Authorized Representative:**

Prefix:  \* First Name:

Middle Name:

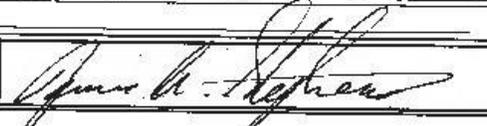
\* Last Name:

Suffix:

\* Title:

\* Telephone Number:  Fax Number:

\* Email:

\* Signature of Authorized Representative:  \* Date Signed:

| Application for Federal Assistance SF-424  |   |   |
|--|---|---|
| * 1. Type of Submission:   |   | * 2. Type of Application:   |
| <input type="checkbox"/> Preapplication<br><input checked="" type="checkbox"/> Application<br><input type="checkbox"/> Changed/Corrected Application |   | <input checked="" type="checkbox"/> New<br><input type="checkbox"/> Continuation<br><input type="checkbox"/> Revision |
|  |   | * If Revision, select appropriate letter(s):<br><input type="text"/><br>* Other (Specify):<br><input type="text"/>    |
| * 3. Date Received:  | 4. Applicant Identifier:                    |   |
| <input type="text"/>   | B-16-00 01-0001 <input type="text"/>        |   |
| 5a. Federal Entity Identifier:   |   | 5b. Federal Award Identifier:   |
| <input type="text"/>   |   | <input type="text"/>  |
| <b>State Use Only:</b>   |   |   |
| 6. Date Received by State:   | 7. State Application Identifier:            |   |
| <input type="text"/>   | <input type="text"/>                        |   |
| <b>8. APPLICANT INFORMATION:</b>   |   |   |
| * a. Legal Name: Jefferson County, Alabama   |   |   |
| * b. Employer/Taxpayer Identification Number (EIN/TIN):  | * c. Organizational DUNS:                   |   |
| 63-5001579   | 105474279000                                |   |
| <b>d. Address:</b>   |   |   |
| * Street1:   | 716 Richard Arrington Jr Blvd N, Ste. E-410 |   |
| Street2:   | <input type="text"/>                        |   |
| * City:  | Birmingham                                  |   |
| County/Parish:   | Jefferson                                   |   |
| * State:   | AL Alabama                                  |   |
| Province:  | <input type="text"/>                        |   |
| * Country:   | USA: UNITED STATES                          |   |
| * Zip / Postal Code:   | 35203-0100                                  |   |
| <b>e. Organizational Unit:</b>   |   |   |
| Department Name:   | Division Name:                              |   |
| Human-Community Services & ED  | <input type="text"/>                        |   |
| <b>f. Name and contact information of person to be contacted on matters involving this application:</b>  |   |   |
| Prefix:  | Dr.   | * First Name: Frederick   |
| Middle Name:   | L.  | <input type="text"/>  |
| * Last Name:   | Hamilton                                    |   |
| Suffix:  | Ph.D.                                       |   |
| Title:   | Director                                    |   |
| Organizational Affiliation:  |   |   |
| Jefferson County Commission  |   |   |
| * Telephone Number:  | 205-325-5761                                | Fax Number: 205-325-5095  |
| * Email:   | brnilton@jcca.org                           |   |

| Application for Federal Assistance SF-424   |  |
|---|--|
| <b>* 9. Type of Applicant 1: Select Applicant Type:</b><br><input type="text" value="0: County Government"/><br>Type of Applicant 2: Select Applicant Type:<br><input type="text"/><br>Type of Applicant 3: Select Applicant Type:<br><input type="text"/><br>* Other: (specify):<br><input type="text"/> |  |
| <b>* 10. Name of Federal Agency:</b><br><input type="text" value="U.S. Dept. of Housing &amp; Urban Development"/>  |  |
| <b>11. Catalog of Federal Domestic Assistance Number:</b><br><input type="text" value="14-228"/><br>CFDA Title:<br><input type="text" value="Community Development Block Grants/Entitlement Grants"/>   |  |
| <b>* 12. Funding Opportunity Number:</b><br><input type="text"/><br>* Title:<br><input type="text" value="Community Development Block Grant"/>  |  |
| <b>13. Competition Identification Number:</b><br><input type="text"/><br>Title:<br><input type="text"/>   |  |
| <b>14. Areas Affected by Project (Cities, Counties, States, etc.):</b><br><input type="text"/> <div style="display: flex; justify-content: space-around; margin-top: 5px;"> <span>Add Attachment</span> <span>Delete Attachment</span> <span>View Attachment</span> </div>                                |  |
| <b>* 15. Descriptive Title of Applicant's Project:</b><br><input type="text" value="Eligible activities through out the Jefferson County Consortium and unincorporated Jefferson County to be funded from the Community Development block grants."/>  |  |
| Attach supporting documents as specified in agency instructions.<br><div style="display: flex; justify-content: space-around; margin-top: 5px;"> <span>Add Attachments</span> <span>Delete Attachments</span> <span>View Attachments</span> </div>  |  |

| Application for Federal Assistance SF-424  |  |  |
|--|--|--|
| * 1. Type of Submission:<br><input type="checkbox"/> Preapplication<br><input checked="" type="checkbox"/> Application<br><input type="checkbox"/> Changed/Corrected Application | * 2. Type of Application:<br><input checked="" type="checkbox"/> New<br><input type="checkbox"/> Continuation<br><input type="checkbox"/> Revision | * If Revision, select appropriate letter(s):<br>_____<br>* Other (Specify):<br>_____ |
| * 3. Date Received:<br>_____   | 4. Applicant Identifier:<br>_____  |  |
| 5a. Federal Entry Identifier:<br>IRID _____  | 5b. Federal Award Identifier:<br>B-16-DC-01 0005 _____   |  |
| <b>State Use Only:</b>   |  |  |
| 6. Date Received by State: _____   | 7. State Application Identifier: _____   |  |
| <b>8. APPLICANT INFORMATION:</b>   |  |  |
| * a. Legal Name: Jefferson County, Alabama   |  |  |
| * b. Employer/Taxpayer Identification Number (EIN/TIN):<br>63 6001575  | * c. Organizational DUNS:<br>1064742790300   |  |
| <b>d. Address:</b>   |  |  |
| * Street1:<br>Street2:<br>* City:<br>County/Parish:<br>* State:<br>Province:<br>* Country:<br>* Zip / Postal Code:   | 716 Richard Arrington Jr Blvd N, Ste. A-430<br>_____<br>Birmingham<br>Jefferson<br>AL, Alabama<br>_____<br>USA; UNITED STATES<br>35203-0130        |  |
| <b>e. Organizational Unit:</b>   |  |  |
| Department Name:<br>Human Community Services & CD  | Division Name:<br>_____  |  |
| <b>f. Name and contact information of person to be contacted on matters involving this application:</b>  |  |  |
| Prefix: Dr.<br>Middle Name: L.<br>* Last Name: Hamilton<br>Suffix: Ph.D.   | * First Name: Frederick<br>_____<br>_____<br>_____<br>_____<br>Title: Director   |  |
| Organizational Affiliation:<br>Jefferson County Commission   |  |  |
| * Telephone Number: 205-325-5761   | * Fax Number: 205-325-5095   |  |
| * E-mail: hami@cojccal.org   |  |  |

| Application for Federal Assistance SF-424  |  |
|--|--|
| <b>* 9. Type of Applicant 1: Select Applicant Type:</b><br><input type="text" value="5: County Government"/>   |  |
| <b>Type of Applicant 2: Select Applicant Type:</b><br><input type="text"/>   |  |
| <b>Type of Applicant 3: Select Applicant Type:</b><br><input type="text"/>   |  |
| <b>* Other (specify):</b><br><input type="text"/>  |  |
| <b>* 10. Name of Federal Agency:</b><br><input type="text" value="U.S. Dept. of Housing &amp; Urban Development"/>   |  |
| <b>11. Catalog of Federal Domestic Assistance Number:</b><br><input type="text" value="14.231"/>   |  |
| <b>CFDA Title:</b><br><input type="text" value="Emergency Solutions Grant"/>   |  |
| <b>* 12. Funding Opportunity Number:</b><br><input type="text"/>   |  |
| <b>Title:</b><br><input type="text"/>  |  |
| <b>13. Competition Identification Number:</b><br><input type="text"/>  |  |
| <b>Title:</b><br><input type="text"/>  |  |
| <b>14. Areas Affected by Project (Cities, Counties, States, etc.):</b><br><input type="text"/> <div style="display: flex; justify-content: space-around; margin-top: 5px;"> <span>Add Attachment</span> <span>Delete Attachment</span> <span>View Attachment</span> </div>                     |  |
| <b>* 15. Descriptive Title of Applicant's Project:</b><br><input type="text" value="The ESG program provides funding to: (1) engage homeless individuals and families living on the street; (2) improve the number and quality of emergency shelters for homeless individuals and families."/> |  |
| Attach supporting documents as specified in agency instructions.<br><div style="display: flex; justify-content: space-around; margin-top: 5px;"> <span>Add Attachments</span> <span>Delete Attachments</span> <span>View Attachments</span> </div>   |  |

| Application for Federal Assistance SF-424   |  |
|---|--|
| <b>16. Congressional Districts Of:</b>  |  |
| * a. Applicant  | G & ?  |
| * b. Program/Project  | ESG  |
| Attach an additional list of Program/Project Congressional Districts if needed.   |  |
| <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>  |  |
| <b>17. Proposed Project:</b>  |  |
| * a. Start Date:  | 10/01/2016   |
| * b. End Date:  | 09/30/2018   |
| <b>18. Estimated Funding (\$):</b>  |  |
| * a. Federal  | 155,233.00   |
| * b. Applicant  |  |
| * c. State  |  |
| * d. Local  |  |
| * e. Other  |  |
| * f. Program Income   |  |
| * g. TOTAL  | 155,233.00   |
| <b>* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?</b>   |  |
| <input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on  | <input type="text"/>   |
| <input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review.   |  |
| <input checked="" type="checkbox"/> c. Program is not covered by E.O. 12372.  |  |
| <b>* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)</b>  |  |
| <input type="checkbox"/> Yes  | <input checked="" type="checkbox"/> No   |
| If "Yes", provide explanation and attach  |  |
| <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>  |  |
| <b>21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)</b> |  |
| <input checked="" type="checkbox"/> ** I AGREE  |  |
| ** The list of certifications and assurances, or an Internet site where you may obtain this list, is contained in the announcement or agency specific instructions.   |  |
| <b>Authorized Representative:</b>   |  |
| Prefix:   | Mr.  |
| * First Name:   | James  |
| Middle Name:  | A.   |
| * Last Name:  | Stephens   |
| Suffix:   |  |
| * Title:  | President, Jefferson County Commission   |
| * Telephone Number:   | 205-325-5553   |
| Fax Number:   | 205-325-4860   |
| * Email:  | stephen.sj@jccal.org   |
| * Signature of Authorized Representative:   |  |
| * Date Signed:  | 11/14/16   |

| Application for Federal Assistance SF-424  |  |  |
|--|--|--|
| * 1. Type of Submission:<br><input type="checkbox"/> Preapplication<br><input checked="" type="checkbox"/> Application<br><input type="checkbox"/> Changed/Corrected Application | * 2. Type of Application:<br><input checked="" type="checkbox"/> New<br><input type="checkbox"/> Continuation<br><input type="checkbox"/> Revision | * If Revision, select appropriate letter(s):<br><input type="text"/><br>* Other (Specify):<br><input type="text"/> |
| * 3. Date Received:<br><input type="text"/>  | 4. Applicant Identifier:<br><input type="text"/>   |  |
| 5a. Federal Entity Identifier:<br><input type="text"/>   | 5b. Federal Award Identifier:<br><input type="text"/>  |  |
| <b>State Use Only:</b>   |  |  |
| 6. Date Received by State: <input type="text"/>  | 7. State Application Identifier: <input type="text"/>  |  |
| <b>8. APPLICANT INFORMATION:</b>   |  |  |
| * a. Legal Name: <input type="text"/> Jefferson County, Alabama  |  |  |
| * b. Employer/Taxpayer Identification Number (EIN/TIN):<br><input type="text"/> 53-5001579   | * c. Organizational DUNS:<br><input type="text"/> 1052742790000  |  |
| <b>d. Address:</b>   |  |  |
| * Street 1:<br><input type="text"/> 716 Richard Arrington Jr Blvd N, Ste. A-430  |  |  |
| Street 2:<br><input type="text"/>  |  |  |
| * City:<br><input type="text"/> Birmingham   |  |  |
| County/Parish:<br><input type="text"/> Jefferson   |  |  |
| * State:<br><input type="text"/> AL: ALABAMA   |  |  |
| Province:<br><input type="text"/>  |  |  |
| * Country:<br><input type="text"/> USA: UNITED STATES  |  |  |
| * Zip / Postal Code:<br><input type="text"/> 35203-0100  |  |  |
| <b>e. Organizational Unit:</b>   |  |  |
| Department Name:<br><input type="text"/> Human-Community Services & ED   | Division Name:<br><input type="text"/>   |  |
| <b>f. Name and contact information of person to be contacted on matters involving this application:</b>  |  |  |
| Prefix:<br><input type="text"/> Dr.  | * First Name:<br><input type="text"/> Frederick  |  |
| Middle Name:<br><input type="text"/>   |  |  |
| * Last Name:<br><input type="text"/> Hamilton  |  |  |
| Suffix:<br><input type="text"/> Ph.D.  |  |  |
| Title:<br><input type="text"/> Director  |  |  |
| Organizational Affiliation:<br><input type="text"/> Jefferson County Commission  |  |  |
| * Telephone Number:<br><input type="text"/> 205-325-5961   | Fax Number:<br><input type="text"/> 205-325-5095   |  |
| * Email:<br><input type="text"/> hamilton@jccal.org  |  |  |

| Application for Federal Assistance SF-424  |  |
|--|--|
| <b>* 9. Type of Applicant 1: Select Applicant Type:</b><br><input type="text" value="B: County Government"/>   |  |
| Type of Applicant 2: Select Applicant Type:<br><input type="text"/>  |  |
| Type of Applicant 3: Select Applicant Type:<br><input type="text"/>  |  |
| * Other (specify):<br><input type="text"/>   |  |
| <b>* 10. Name of Federal Agency:</b><br><input type="text" value="U.S. Dept. of Housing &amp; Urban Development"/>   |  |
| <b>11. Catalog of Federal Domestic Assistance Number:</b><br><input type="text" value="14.239"/>   |  |
| CFDA Title:<br><input type="text" value="HOME Grant"/>   |  |
| <b>* 12. Funding Opportunity Number:</b><br><input type="text"/>   |  |
| * Title:<br><input type="text"/>   |  |
| <b>13. Competition Identification Number:</b><br><input type="text"/>  |  |
| Title:<br><input type="text"/>   |  |
| <b>14. Areas Affected by Project (Cities, Counties, States, etc.):</b><br><input type="text"/> <div style="display: flex; justify-content: space-around; margin-top: 5px;"> <span>Add Attachment</span> <span>Delete Attachment</span> <span>View Attachment</span> </div> |  |
| <b>* 15. Descriptive Title of Applicant's Project:</b><br><input type="text" value="To expand the supply of affordable housing, particularly rental housing, for low and very low income Americans."/>   |  |
| Attach supporting documents as specified in agency instructions.<br><div style="display: flex; justify-content: space-around; margin-top: 5px;"> <span>Add Attachments</span> <span>Delete Attachments</span> <span>View Attachments</span> </div>                         |  |

**Application for Federal Assistance SF-424**

**16. Congressional Districts Of:**  
 \* a. Applicant:  \* b. Program/Project:

Attach an additional list of Program/Project Congressional Districts if needed.

**17. Proposed Project:**  
 \* a. Start Date:  \* b. End Date:

**18. Estimated Funding (\$):**

|                     |   |
|---------------------|---|
| * a. Federal        | <input type="text" value="733,000.00"/> |
| * b. Applicant      | <input type="text"/>                    |
| * c. State          | <input type="text"/>                    |
| * d. Local          | <input type="text"/>                    |
| * e. Other          | <input type="text"/>                    |
| * f. Program Income | <input type="text" value="125,000.00"/> |
| * g. TOTAL          | <input type="text" value="857,000.00"/> |

**\* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**  
 a. This application was made available to the State under the Executive Order 12372 Process for review on   
 b. Program is subject to E.O. 12372 but has not been selected by the State for review.  
 c. Program is not covered by E.O. 12372.

**\* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**  
 Yes  No  
 If "Yes", provide explanation and attach

**21. \*By signing this application, I certify (1) to the statements contained in the list of certifications\*\* and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances\*\* and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 28, Section 1001)**  
 \*\* I AGREE  
 \*\* The list of certifications and assurances, or an Internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

**Authorized Representative:**

Prefix:  \* First Name:   
 Middle Name:   
 \* Last Name:   
 Suffix:

\* Title:

\* Telephone Number:  \* Fax Number:

\* Email:

\* Signature of Authorized Representative:  \* Date Signed:

## CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

**Affirmatively Further Fair Housing --** The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

**Anti-displacement and Relocation Plan --** It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential antidisplacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

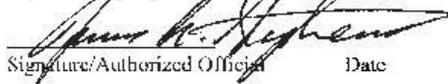
**Anti-Lobbying --** To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-L.L.L., "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

**Authority of Jurisdiction --** The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

**Consistency with plan --** The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

**Section 3 --** It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.

  
Signature/Authorized Official

Date

7/14/16

### Specific CDBG Certifications

The Entitlement Community certifies that:

**Citizen Participation** -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

**Community Development Plan** -- Its consolidated housing and community development plan identifies community development and housing needs and specifics both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24.570.2 and CFR 24 part 570)

**Following a Plan** -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

**Use of Funds** -- It has complied with the following criteria:

1. **Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);
2. **Overall Benefit.** The aggregate use of CDBG funds including section 108 guaranteed loans during program year(s) \_\_\_\_\_ (a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
3. **Special Assessments.** It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

**Excessive Force** -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its

jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and

2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction:

**Compliance With Anti-discrimination laws** – The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

**Lead-Based Paint** -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, subparts A, B, J, K and R;

**Compliance with Laws** -- It will comply with applicable laws.

 5/14/16  
Signature/Authorized Official      Date

           **PRESIDENT**  
Title

**Specific HOME Certifications**

The HOME participating jurisdiction certifies that:

**Tenant Based Rental Assistance** -- If the participating jurisdiction intends to provide tenant-based rental assistance:

The use of HOME funds for tenant-based rental assistance is an essential element of the participating jurisdiction's consolidated plan for expanding the supply, affordability, and availability of decent, safe, sanitary, and affordable housing.

**Eligible Activities and Costs** -- it is using and will use HOME funds for eligible activities and costs, as described in 24 CFR § 92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in § 92.214.

**Appropriate Financial Assistance** -- before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;

 7/14/16  
\_\_\_\_\_  
Signature/Authorized Official      Date

**PRESIDENT**

Title

## ESG Certifications

The Emergency Solutions Grants Program Recipient certifies that:

**Major rehabilitation/conversion** – If an emergency shelter’s rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation. If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion. In all other cases where ESG funds are used for renovation, the jurisdiction will maintain the building as a shelter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

**Essential Services and Operating Costs** – In the case of assistance involving shelter operations or essential services related to street outreach or emergency shelter, the jurisdiction will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the jurisdiction serves the same type of persons (e.g., families with children, unaccompanied youth, disabled individuals, or victims of domestic violence) or persons in the same geographic area.

**Renovation** – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

**Supportive Services** – The jurisdiction will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, victim services, counseling, supervision, and other services essential for achieving independent living), and other Federal, State, local, and private assistance available for such individuals.

**Matching Funds** – The jurisdiction will obtain matching amounts required under 24 CFR 576.201.

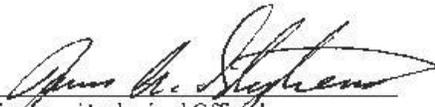
**Confidentiality** – The jurisdiction has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

**Homeless Persons Involvement** – To the maximum extent practicable, the jurisdiction will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

**Consolidated Plan** – All activities the jurisdiction undertakes with assistance under ESG are consistent with the jurisdiction’s consolidated plan.

**Discharge Policy** – The jurisdiction will establish and implement, to the maximum extent practicable and where appropriate policies and protocols for the discharge of persons from

publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.

  
Signature/Authorized Official

7/14/16  
Date

PRESIDENT  
Title

**APPENDIX TO CERTIFICATIONS**

**INSTRUCTIONS CONCERNING LOBBYING:**

**A. Lobbying Certification**

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.